

Hai-Hu Wen

National Lab for Superconductivity, Institute of Physics, Chinese Academy of Sciences, Beijing, China



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Collaborators:

• IOP, CAS, China: Yue Wang, Lei Shan, Hong Gao, Zhiyong Liu, Fang Zhou

• MIT, USA: Xiao-Gang Wen

• Osaka Univ., Japan: Yoichi Ando's group (LSCO, Optimal doped)

• Tohoku Univ., Japan: Yoichi Tanabe, Tadashi Adachi, and Yoji Koike (LSCO, Overdoped)





1908 年荷兰 Leiden 大学的 Kamerling Onnes 小组将 He 液 化,1911年测量水银 Hg 的电阻 温度曲线时发现在 4.2 K (零 下269度) 左右电阻突然消失。 此性质被命名为超导性。随后发 现了大批的单元素金属超导体。

零电阻特性



超导转变温度与时间的关系



Structure of La_{2-x}Sr_xCuO₄: Doped Mott Insulator



Cu-O plane

Charge Reservoir



 $Cu^{2+}(3d^9)$ ---- $O^{2-}(2p^6)$



空穴掺杂氧化物超导体电子能带图示







BCS Theory : electron-ph interaction





 $E \approx 2E_F - 2\hbar\omega_c e^{-2/N(0)V}$

$$W_{BCS}^0 - W_n^0 = -\frac{1}{2}N(E_F^0)\Delta^2$$

 $\Delta / k_B T_c = 1.76$ $\Delta / k_B T_c = 2.14$

(weak coupling s-wave)
(weak coupling d-wave)



Symmetry of the Superconducting Order Parameter

• High T_c superconductors: Cooper pairs: single flux with 2e as carriers in the superfluid condensate:



$$\Phi = h/2e$$

C. E. Gough, et al., Nature 326, 855 (1987).

• Pauli exclusion principle: two electrons cannot occupy the same quantum state.



Spin and Orbital Angular Momentum of two Bounded Electrons

Spin State	S	Description	Orbital Number <i>l</i>
Spin Singlet	0	$\uparrow(1)\downarrow(2)-\uparrow(2)\downarrow(1)$	0 (s-wave) 2 (d-wave)
Spin Triplet	1	$\uparrow(1)\uparrow(2)$ $\downarrow(1)\downarrow(2)$ $\uparrow(1)\downarrow(2)+\uparrow(2)\downarrow(1)$	1 (p-wave)



Possible symmetries of curate high-T_c superconductors:

Group-theoretic notation	A _{1g}	A _{2g}	B _{1g}	B _{2g}
Order Parameter basis function	constant	xy(x ² -y ²)	x ² -y ²	ху
Wavefunction name	s-wave	g	d _x 2-y2	d _{xy}
Schematic representation of $\Delta(k)$ in B.Z.	Aky ky			

Table 1

Possible even-parity singlet pair states in a square lattice (point group C4v)





Anti-nodal region

Fermi surface



 k_v

Nodal region

k_x









Andrea Damascelli, Zhi-Xun Shen, Zahid Hussan, Rev. Mod. Phys. 75, 473-541(2003).

The pseudogap seems to have the similar symmetry as the gap in the superconducting state, i.e., d_{x2-y2} .

欠掺杂区的电阻上翘

最佳掺杂的超线性



Komiya, ..., Ando, PRL94, 207004(2005) 非常规线性电阻行为

Novel Nernst effect in HTS

Z. A. Xu, N. P. Ong, Y. Wang, T. Kakeshita, S. Uchida, Nature 406, 486-488(2000).

Patrick A. Lee, Nature 406, 467(2000)





Figure 4 Contour plot of $(\nu - \nu_n)$ versus *x* in the phase diagram of LSCO. The contour plot displays how high in *T* the vortex-like excitations extend for each value of *x*. The upper solid line T_{onset} is the contour set by our resolution. The pseudogap T^* estimated from heat capacity¹⁵ is about a factor of two larger than T_{onset} . Values of T_c in our samples (circles) match the T_c line (lower solid line) from Takagi *et al.*¹⁴ We note that the T_c line is roughly similar to the contour line $\nu = 1 \ \mu V/KT$.

Nernst signal due to normal electrons is almost zero

Thermal flow

$$H = 0$$



$$j_{x} = \sigma E_{x} + \alpha (-\nabla_{x}T)$$
$$E_{x} = -(\alpha / \sigma)(-\nabla_{x}T)$$

Anti-electric-field



 $H \neq 0$, No electrons flow, thus NO transverse voltage.



Nernst effect due to flux motion

$$F_{th} = -S_{\phi} \nabla_{x} T$$

$$F_{\eta} = -\eta v_{\phi}$$

$$E_{y} = v_{\phi} B_{z}$$

 $\langle 4\pi I \rangle$

Thermal force

Dissipation force

Nernst voltage due to thermal drifting flux flow

$$E_{y} = \frac{\nabla_{x} T S_{\phi}}{\phi_{0}} \rho_{f} = \frac{\nabla_{x} T S_{\phi}}{\phi_{0}} \rho_{n} \frac{H}{H_{c2}(T)}$$
$$S_{\phi} = \left(\frac{\phi_{0}}{4\pi T}\right) (H_{c2}(T) - H) \frac{L(T)}{1.16(2\kappa^{2} - 1)} K_{c2}(T)$$

Maki, Physica 55, 124 1971).



Thermal driven flux motion



Nernst Voltage



• Z. Wang, H. H. Wen, PRB72, 054509(2005).

• *H. H. Wen et al., EPL63, 583(2003)*





反常的同位素效应:

图取自: D. M. Newns and C. C. Tsuei, Naturephys. 3, 184 (2007).

高温超导体中特殊的自旋涨落谱





李世亮和戴鹏程,物理, 2006

K. Yamada et al. PRB 57, 6165(1998).



J. Tranquada, Nature 2006



P. C. Dai et al, Science 284, 1344 (1999).

About the resonance peak there are two pictures:

1. Media (magnetic fluctuation) for electron pairing;

2. The response of the spin system when the d-wave superconducting gaps are formed.

Superfluid density and doping C. Bernhard et al., PRL86, 1614(2001).





Electronic phase diagram of HTS ----BE --BCS Condensation Scheme •Y. J. Uemura, PRL66, 2665(1991). Nature 364, 605(1993). Physica C 282-287, 194(1997).



Figure 1. Muon spin relaxation rate $\sigma(T \rightarrow 0)$ in various superconductors plotted versus T_c [1-3].

Overdoped Regime:

Swiss Cheese Model



Figure 3. Phase diagram describing BE-BCS crossover with increasing carrier concentration n. This phase diagram can be mapped to that of the cuprates by assuming that the pseudo gap temperature T^* corresponds to the formation of normal-state pairs. [5,6]



V. J. Emery and S. A. Kivelson, Nature 374, 434 (1995).

围绕高温超导机理产生很多问题:

- 为什么有抛物线形状的 T_c vs. p 曲线?
- •
 應能隙的起源是什么? 其基态是什么? 金属、非金属? 能带论和 费米面仍然适用吗?
- 赝能隙与超导的关系? 敌人、竞争者、公存者或朋友?
- 为什么欠掺杂的能隙很大,但是超导温度很低?为什么在远高于 超导转变温度之上仍然有强的能斯特信号?
- 最佳掺杂的正常态电阻为什么到非常高温是线性行为?
- 是电声子耦合还是其他耦合,或者不需要配对媒介?
- 磁涨落谱上面的共振峰对应什么物理? 是自旋涨落配对吗?
- 高温超导离传统的 BCS 有多远?

Specific heat: A powerful tool for low energy excitation

$$C = \frac{dU}{dT} = C_e + C_{ph} + C_{spin}$$

$$\Delta T = \Delta T_c \left[1 - \exp(-\frac{t}{\tau}) \right]$$

$$\tau = \frac{\left(C + C_{add}\right)}{\kappa_{w}}$$

Sample: 1 to 50 mg. T: 1.8 K to 400 K H: 0 to 12 Tesla C: 10 nJ / K





Quasiparticle excitations from Fermi arcs found by Chinese 2000 years ago!



D-wave

$$N(E) = N(0) \operatorname{Re} \frac{E}{\sqrt{E^2 - \Delta^2}}$$

$$N(E) = N(0) \int \frac{d\theta}{2\pi} \operatorname{Re} \frac{E}{\sqrt{E^2 - \Delta_0^2 \cos^2(2\theta)}}$$



$$C_{pure-s} = a \gamma_n T_c \exp(-\frac{\Delta}{T})$$



 $N(E) \propto E$

$$C_{d-wave} = a \frac{\gamma_n}{T_c} T^2$$

DOS in Mixed State with Vortices





 S-wave: superconductor, vortex cores play as potential wells for low energy quasi-particles.
 One component: localized core state.

 D-wave: two components for quasiparticles: Localized core state and de-localized extended states due to the nodes.

Symmetries \rightarrow Quasiparticle excitation in mixed state

1. S-wave superconductor:

$$N(T, H) \approx a \gamma_n \frac{H}{H_{c2}}$$
$$C_{S-wave} \approx a \gamma_n \frac{H}{H_{c2}}T$$

2. D-wave superconductor:



$$E_H = v_F / a_0 \propto \sqrt{H}$$

$$N(E_{F})_{S.V.} = \int \frac{d^{3}k}{(2\pi)^{3}} \int d^{2}r \delta[E(\vec{k},\vec{r}) - \vec{k} \cdot \vec{v}_{s}(\vec{r})]$$

$$\propto 1/\sqrt{H} \text{ Quasiparticle outside core}$$

$$O(E_{Volovik} = k\gamma_{n}T \sqrt{\frac{H}{H_{c2}}}$$

$$G. E. Volovik, JETP Lett. 58, 469(1993).$$

By solving the BdG theory for a d-wave superconductor, Simon and Lee predict a scaling law for the quasiparticle spectrum for d-wave superconductor:

S. H. Simon, P. A. Lee, PRL78, 1548 (1997).

$$U = \sum_{n} \epsilon_{n}^{H} f(\epsilon_{n}^{H}/T) = [H/H_{0}]^{1/2} \sum_{n} \epsilon_{n}^{H_{0}} f(\epsilon_{n}^{H_{0}} [H/H_{0}]^{\frac{1}{2}}/T),$$

$$C_{vol} = T^2 f(T / \sqrt{H}) = Hg(T / \sqrt{H})$$

This scaling law contains cases in two limits: Volovik's relation *TH*^{0.5} in low temperature limit, *T*² relation in high temperature limit. *G.E. Volovik, N. B. Kopnin, PRL78, 5028(1997).*

Weak-coupling d-wave BCS superconductivity in overdoped region

Evidence for weak coupling d-wave BCS model:

P-type cuprates



N. Miyakawa, et al., *PRL 80, 157 (1998)*.

Break junction tunneling



A. Ino, et al., (2002)

Samples: La_{2-x}Sr_xCuO₄ single crystals From Tohoku University



Six samples:

x = 0.18, 0.202, 0.218, 0.238, 0.259, 0.29 T_c=36, 30.5, 25, 19.5, 6.5 and 0 K
x=0.18~0.238

Relaxation Method



x=0.18



$$\frac{C}{T} = \gamma + \beta T^2 + \frac{nC_{schottky}}{T}$$



 $\frac{C}{T} = \gamma + \beta T^2 + \beta_5 T^4 + \frac{nC_{schottky}}{T}$ T







х

0.29

0.30



The field-induced specific heat for x=0.18:



 $\frac{C_{el}(H)}{T} = \gamma(H) = A\sqrt{H}$

Volovik's relation G. E. Volovik, JETP Lett. 58, 469(1993).



From N. E. Hussey, Advances in Physics 51, 1685(2002).

$$\Delta(k) = \Delta_0(\cos k_x a - \cos k_y a) = \Delta_0 \cos 2\theta$$

| ħk

$$E(k) = \sqrt{\varepsilon_k^2 + \Delta_k^2} = \hbar \sqrt{v_F^2 k_{\parallel}^2 + v_{\Delta}^2 k_{\perp}^2}$$

$$N(E) = \frac{2}{\pi \hbar^2} \left(\frac{1}{v_F v_\Delta} \right) E \quad v_\Delta = \left[\frac{d\Delta_s}{d\phi} \right]_{node}$$

$$A = \frac{4k_B^2}{3\hbar} \left(\frac{\pi}{\Phi_0}\right)^{1/2} \frac{nV_{mol}}{d} \frac{a}{v_{\Delta}}$$



$$\frac{C_{el}(H)}{T} = \gamma(H) = A\sqrt{H}$$

Robust *d*-wave symmetry

$$A = \frac{4k_B^2}{3\hbar} \left(\frac{\pi}{\Phi_0}\right)^{1/2} \frac{nV_{mol}}{d} \frac{a}{v_{\Delta}}$$



$$A = \frac{Const}{\Delta_{\max}}$$



No longer increase in A: due to the decrease of the superconducting volume fraction

$$A_{corr} = A \gamma_N / [\gamma_N - \gamma(0)]$$

Correction needed!

Weak coupling d-wave superconductivity in overdoped region!



$$A = \frac{4k_B^2}{3\hbar} \left(\frac{\pi}{\Phi_0}\right)^{1/2} \frac{nV_{mol}}{d} \frac{a}{v_{\Delta}}$$

$$\Delta_0 = \frac{1}{2}\hbar k_F v_\Delta$$

Underdoped LSCO:

H. H. Wen, et al., PRB72, 134507(2005).H. H. Wen, et al., PR B70, 214505(2004).

Weak coupling d-wave superconductivity in overdoped region!



Yue Wang, et al., Phys. Rev. B, 2007, in press.



 $\gamma(0)$ increases rapidly with increasing doping (0.18≤x ≤0.259) and evolves into the normal state γ_N at x = 0.29



S. Nakamae *et al.*, PRB 68, R100205 (2003)

T. Matsuzaki et al., J. Phys. Soc. Jpn. 73, 2232 (2004)



Crudely estimate the fraction of the residual unpaired carries:

x	γ_N	$\gamma(0)$	$\frac{\gamma(0)}{\gamma_N}$
	$(\rm mJmol^{-1}K^{-2})$	$(\rm mJmol^{-1}K^{-2})$	(%)
0.18	9.6	1.68	17.5
0.202	12.2	2.22	18.2
0.218	13.9	3.60	25.9
0.238	13.9	8.48	61.0
0.259	~ 14.03	14.03	~ 100
0.29	10.34	10.34	100

Growing of the normal state regions in the sample towards more overdoping

Impurity scattering:

$$\frac{\gamma(H)}{\gamma_N} = \frac{\Delta_0}{8\gamma_0} a^2 \left(\frac{H}{H_{c2}}\right) \ln\left[\frac{\pi}{2a^2} \left(\frac{H_{c2}}{H}\right)\right]$$

$$\frac{\gamma_{res}^{i}}{\gamma_{N}} = \frac{2\gamma_{0}}{\pi\Delta_{0}} \ln\left(\frac{\Delta_{0}}{\gamma_{0}}\right)$$



$$\gamma_0 \cong 0.61 \sqrt{\Gamma \Delta_0}$$
 pair-breaking parameter

$$\Gamma = \frac{n_{imp}}{\hbar \pi N_n(0)}$$

quasi-particle scattering rate

C. Kübert et al., Solid State Commun. 105, 459(1998) G. Preosti et al., PRB **50**, 1259 (1994)



$$\frac{\gamma(H)}{\gamma_N} = \frac{\Delta_0}{8\gamma_0} a^2 \left(\frac{H}{H_{c2}}\right) \log\left[\frac{\pi}{2a^2}\left(\frac{H_{c2}}{H}\right)\right]$$

Failure of the fitting !

H. H. Wen, X. H. Chen, W. L. Yang, Z. X. Zhao Phys. Rev. Lett. 85, 2805(2000) ; PNAS 97, 11145 (2000).

Data from $La_{2-x}Sr_{x}CuO_{6}$ single crystals



K. Yamada et al. PRB 57, 6165(1998).









Proposed Picture for

Electronic Phase Diagram (By Hai-Hu Wen 2000)



Similar conclusion: S. Wakimoto, et al., Phys. Rev. Lett. 98, 247003(2007)

Underdoped region:

Correlation between pseudogap and superconductivity



x=0.15



Pure d-wave scaling x=0.15



LaSrCuO, p=0.063





Raw data
$$\Delta \gamma$$
 vs. T

 $\Delta \gamma / H^{0.5}$ vs. T

Good scaling to Volovik's relation at T=0 for all doping concentrations: $\gamma_{vol} = A\sqrt{H}$



H. H. Wen, et al., Phys. Rev. B70, 214505(2004).

Ground state of the pseudogap phase: Nodal metal



Fermi arc metal

LaSrCuO, p=0.063

p=0.08



 $\gamma \propto N(E_F)$

Our data



 $\kappa \propto N(E_{_F})\tau$

X. F. Sun, ..., Y. Ando, PRL(2003)

Na-CaCuOCl Single crystals



T = 15 K

K. M. Shen, et al., Science 307, 901(2005).



There should be Fermi arcs in the pseudogap state if the superconductivity would be suppressed completely!





T. Matsuzaki, N. Momono, M. Oda, M. Ido, J. Phys. Soc. Japn. 73, 2232(2004).

From the entropy point of view, the nodal metal picture for the PG phase is not reasonable.



What governs the superconducting transition temperature?



$$\Delta_{s} = \frac{\frac{1}{2}k_{arc}}{k_{F}} \left[\frac{d\Delta_{s}}{d\phi}\right]_{node}$$
$$= \frac{1}{2}v_{\Delta}\hbar k_{arc} \approx k_{B}T_{c}$$

Good scaling to Volovik's relation at T=0 for all doping concentrations: $\gamma_{vol} = A\sqrt{H}$



H. H. Wen, et al., Phys. Rev. B70, 214505(2004).



Four Nodal points:

$$\gamma_{vol} = A\sqrt{H}$$

$$A = \alpha_p \frac{4k_B^2}{3\hbar l_c} \sqrt{\frac{\pi}{\Phi_0}} \frac{nV_{mol}}{v_\Delta}$$

$$v_{\Delta} = \left[\frac{d\Delta_s}{d\phi}\right]_{node} / \hbar k_F$$

$$\Delta_q = v_{\Delta} \hbar k_F / 2$$

$$2.2\Delta_q = k_B T^*$$

Open symbols: T. Timusk, B. Statt, Rep. Prog. Phys. 62, 61(1999).



M. Sutherland...L. Taillefer, Phys. Rev. B67, 174520(2003)




For a 2D Fermi surface

$$v_n(0) = 2nk_B^2 k_{arc} V_{mol} / 3\hbar v_F l_c$$

~

$$T_c = \alpha_s^{-1} \frac{3\hbar^2 v_F l_c \gamma_n(0) v_{\Delta}}{4nk_B^3 V_{mol}} = \beta \gamma_n(0) v_{\Delta}$$

The residual DOS at zero temperatures

$$\gamma_n(0) = 182.6(p - 0.03)^{1.54}$$



T. Matsuzaki, N. Momono, M. Oda, M. Ido, J. Phys. Soc. Japn. 73, 2232(2004).

Comparison between k_{arc} and $2\pi/a$





$$\beta = 0.7445 K^3 mols / Jm$$
$$v_F = 2.73 \times 10^7 cm / s$$
$$\alpha_s = 13.8$$

$$T_{c} = \alpha_{s}^{-1} \frac{3\hbar^{2} v_{F} l_{c} \gamma_{n}(0) v_{\Delta}}{4nk_{B}^{3} V_{mol}} = \beta \gamma_{n}(0) v_{\Delta}$$

H. H. Wen, et al., Phys. Rev. B 72, 134507(2005).

Non BCS behavior in underdoped regime



A. Junod, A. Erb, and C. Renner, Physica C 317-318, 333 (1999).









To get useful message from "nothing"

Improved Low-temperature specific heat measurements based on PPMS



After a complicated process, (inc. data acquisition and treatment), we finally get the useful message!





- Cooper pairs are formed far above T_c: Gaussian fluctuation? Or BEC or Phase fluctuation, or something else?
- At T=0 K, field will induce finite DOS, a Fermi arc (or pocket) as the ground state of pseudogap state?





Fluctuating superconductivity remains up to about 30-40 K, far above T_c =12 K

Bi_{2+x}Sr_{2-x}CuO₆ single crystals















Electron Doped Region











 $\gamma_n = 4.88 \text{ mJ/mol } \text{K}^2$

 γ_0 = 1.60 mJ/mol K² (perhaps due to the impurity scattering? Nodal gap?)

 β = 0.2337 mJ/mol K⁴

Phonon Mediated Pairing?

$$\Theta_D = (12\pi^4 k_B N_A Z / 5\beta)^{1/3}$$

$$\beta = 0.233 mJ / molK^4$$

$$Z = 7$$

$$\Theta_D = 387K$$

$$T_{c} = \frac{\Theta_{D}}{1.45} \exp \left[-\frac{1.04(1+\lambda)}{\lambda - \mu^{*}(1+0.62\lambda)} \right]$$

The Debye temperature does not change with the doping. It seems that the MacMillan equation based on e-ph cannot explain the T_c in PLCCO





The low temperature part shows a power law, not an exponential dependence! Indicating either anisotropic or d-wave gap symmetry.

Failure to an isotropic s-wave gap



$$\gamma_e = \frac{4N(E_F)}{k_B T^3} \int_0^{\hbar\omega_D} d\varepsilon \left[\varepsilon^2 + \Delta^2(T) - \frac{T}{2} \frac{d\Delta^2(T)}{dT} \right] \frac{e^{\varsigma/k_B T}}{(1 + e^{\varsigma/k_B T})^2}$$
$$\varsigma = \sqrt{\varepsilon^2 + \Delta^2(T)}$$



 Anisotropic S-wave or Nonmonotonic d-wave



$$\Delta_d = \Delta_0 \cos 2\theta$$
$$\Delta_{nmd} = \Delta_0 [1.43 \cos 2\theta - 0.43 \cos 6\theta]$$

 $E_{cond} = H_c^2 / 8\pi$

 $H_{c}(T) = H_{c}(0) \left[1 - (T/T_{c})^{2} \right]$

500 **0**T 400 0.5T \bigcirc 1T Econd (mJ/mol) Δ 2T ∇ 300 -3T \diamond 4T < 5T 200 -6T \bigcirc - E<u>cond</u> 100 0 -10 20 0 30 T(K)

BCS model:

$$E_{cond} \approx \alpha N(E_F) \Delta_0^2 / 2$$
$$\approx \alpha \frac{3}{4\pi^2} \frac{1}{k_B^2} \gamma_n(0) \Delta_0^2$$
$$= 632 \, mJ / mol$$

f taking $\alpha = 1$.

 E_{cond} = 502 mJ/mol. (experiment)

After the volume correction: E_{cond} = 502-748 mJ/mol



Proc. National Acd. Sci.104, 15259 (2007).

Collaboration between P. C. Dai and H. H. Wen's group

S. Wilson, et al., Nature 442, 59 (2006).



Proc. National Acd. Sci.104, 15259 (2007).Collaboration between P. C. Dai and H. H. Wen's group

Summary from experiment

- In all doped regions, d-wave paring symmetry holds;
- It seems to be BCS like in overdoped region although with some specialties: possible phase separation;
- The general quasiparticle gap becomes smaller towards more doping, which resembles the doping dependence of the AF correlation, or the RVB singlet pairing gap;
- Pesudogap phase has small Fermi surfaces, the superconductivity may be formed by the a new "gapping" on these small FS;
- There is a close relationship between the pseudogap and superconductivity.
- The resonance peak on the magnetic fluctuation spectrum has a close connection between the superconductivity condensation.

Phase diagram of cuprate superconductors Based on the data of La-214



Possible pictures for HTS

- 1. Spin fluctuation mediated pairing (glue may or may not need)
- RVB based picture: Mobil electrons swim in the Natural spin singlet-pairing background (glue does not need)
- 3. Electron-phonon pairing (glue need)

Thank you !