

# Critical Behaviour in QCD

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# 1. The Physics of Complex Systems

- Given constituents and dynamics of elementary systems, what is the behaviour of complex systems?
- What are the possible states of matter and how can they be specified?
- How do transitions from one state of matter to another occur?

## 1.1 Critical Behaviour in Thermodynamics

basic feature of critical phenomena:

discontinuous or singular behaviour of physical observables

to summarize main features, recall Ising model

$d$ -dimensional lattice grid,  $N^d$  sites with spins  $s_i = \pm 1 \ \forall i = 1, \dots, N^d$ ,  
uniform next neighbor interaction  $-J s_i s_{i+1}$

properties of the system determined by partition function

$$Z(T, H=0, N) = \prod_{i=1}^{N^d} \sum_{s_i=\pm 1} \exp\left\{ \beta J \sum_{i,j}^{nn} s_i s_j - \beta H \sum_i s_i \right\}$$

temperature  $T = \beta^{-1}$ , external field  $H$ ; take  $H = 0$

$Z(T, H=0; N)$  has global symmetry ( $Z_2$ ):

$$s_i \rightarrow -s_i \quad \forall i = 1, \dots, N^d$$

leaves sum over all states  $Z(T, H=0; N)$  invariant

for high temperatures, system agrees:

on the average,  $\exists$  **disorder**, as many spins  $\uparrow$  as  $\downarrow$

but below a certain temperature:

$\exists$  **order**  $\Rightarrow$  spontaneous symmetry breaking

on the average, more  $\uparrow$  **or** more  $\downarrow$

additional measure to specify state of system: order parameter

## magnetization

$$m(T, N) = \frac{1}{Z(T, N)} \prod_{i=1}^{N^d} \sum_i \left[ \frac{\sum_i s_i}{N^d} \right] \exp\left\{ \beta J \sum_{i,j}^{nn} s_i s_j \right\}$$

under reflection  $s_i \rightarrow -s_i \forall i = 1, \dots, N^d$ :  $m(T, N) \rightarrow -m(T, N)$

order parameter is not invariant

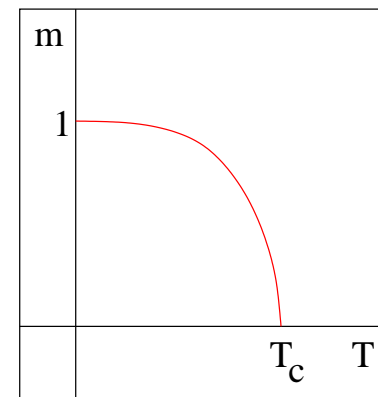
$$m(T) \begin{cases} \neq 0 & \text{for ordered state, broken symmetry} \\ = 0 & \text{for disordered state, symmetry} \end{cases}$$

thermodynamic limit  $N \rightarrow \infty$ :

$m(T, H = 0)$  is not analytic

$$m(T) \sim \begin{cases} (T - T_c)^\beta > 0 & \forall T < T_c \\ 0 & \forall T > T_c \end{cases}$$

$\Rightarrow$  critical exponent  $\beta$



also other observables (specific heat, susceptibility,...) diverge,  
leading to set of critical exponents  $\alpha, \beta, \gamma, \dots$

besides **global** also **local** observables diverge:

correlation function  $\Gamma(r, t) \sim \langle s_i s_{i+r} \rangle \sim \exp -r/\xi$

correlation length diverges:  $\xi \sim |T - T_c|^{-\nu}$

$t \neq 0$ : correlation length finite, dimensional scale, given spin does  
not see far-away other spins

$t = 0$ : correlation length diverges, no scale, all spins are correlated,  
the system cannot be split into independent subsystems

But: why is there singular behaviour?

transition  $\sim$  onset of spontaneous symmetry breaking: “either-or”,  
nothing gradual or smooth; you cannot break symmetry “a little”.

infinite correlated system requires **new physics**:

$\Rightarrow$  scaling and renormalization

(Kadanoff, Wilson)

rescale distances, temperature, external field

$$r \rightarrow r' = br, \quad t \rightarrow t' = b^{y_t}t, \quad h \rightarrow h' = b^{y_h}h$$

all physics must remain the same

⇒ all critical exponents given in terms of  $y_t, y_h$

⇒ critical behaviour fully specified by  $y_t, y_h$

⇒  $y_t, y_h$  define universality class

⇒ Thermodynamic Critical Behaviour<sup>\*</sup> ⇐

- onset of spontaneous symmetry breaking
- singular behaviour of thermodynamic observables
- critical exponents, universality class

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\* continuous transitions

## 1.2 Cluster Formation and Percolation

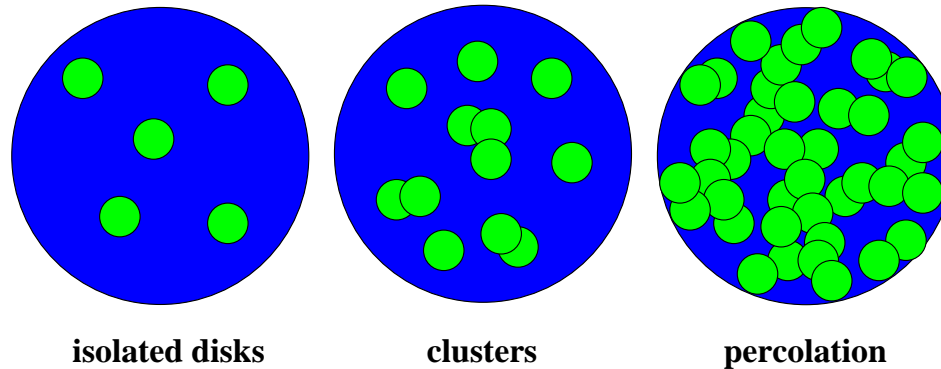
thermal transitions, critical behaviour: dynamics ( $\mathcal{H}$ ), symmetry

for constituents with intrinsic scale,

$\exists$  simpler, geometric form of critical behaviour:

$\Rightarrow$  formation of infinite connected clusters or networks

example: 2-d disk percolation (lilies on a pond)



distribute small disks of area  $a = \pi r^2$  randomly on large area  $A = \pi R^2$ ,  
 $R \gg r$ , with overlap allowed: when can an ant walk across?

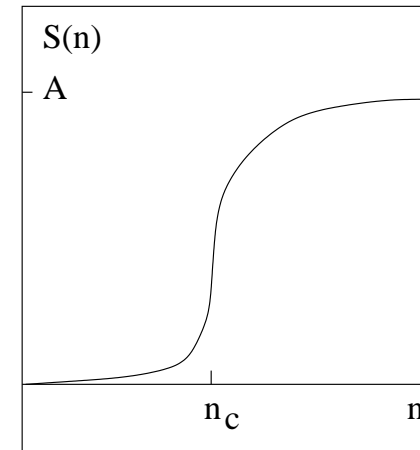
for  $N$  disks, disk density  $n = N/F$

average cluster size  $S(n)$  increases  
with increasing density  $n$

suddenly, for  $n \rightarrow n_c$ ,  $S(n)$  becomes  
large enough to span the pond:  $S \sim F$

for  $N \rightarrow \infty$ ,  $A \rightarrow \infty$ :

$S(n_c)$  and  $(dS(n)/dn)_{n=n_c}$  diverge:  $\Rightarrow$  percolation



percolation is geometric critical behaviour

probability  $P(n)$  that a given disk is in the infinite cluster

$$P(n) \begin{cases} = 0 & \forall n < n_c \\ \sim (n - n_c)^\beta & \text{for } n \rightarrow n_c \text{ from above} \end{cases}$$

$\Rightarrow$  order parameter for percolation

... other observables (cluster size,...) also diverge

again singular behaviour  $\rightarrow$  **critical exponents, universality classes**

instead of symmetry breaking: onset of connectivity

**disconnected  $\rightarrow$  connected system**

$\Rightarrow$  **Geometric Critical Behaviour**  $\Leftarrow$

- onset of infinite cluster formation
- singular behaviour of geometric observables
- critical exponents, universality class

**Why singular behaviour?**

onset of connection is “either-or”, you cannot connect “a little”.

## 2. Critical Behaviour in Statistical QCD

### 2.1 Phases of Strongly Interacting Matter

What happens to strongly interacting matter at high temperature and/or density?

- quark liberation

hadronic matter: colorless constituents of hadronic dimension



quark-gluon plasma: pointlike colored constituents

⇒ deconfinement: insulator-conductor transition in QCD

- quark mass shift

at  $T = 0$ , quarks ‘dress’ with gluons → constituent quarks

bare quark mass  $m_q \sim 0$  → constituent quark mass  $M_q \sim 300$  MeV

in hot medium, dressing ‘melts’  $M_q \rightarrow 0$

for  $m_q = 0$ ,  $\mathcal{L}_{QCD}$  has chiral symmetry

$M_q \neq 0 \rightarrow$  spontaneous chiral symmetry breaking

$M_q \rightarrow 0 \Rightarrow$  chiral symmetry restoration

- diquark matter

deconfined quarks  $\sim$  attractive interaction

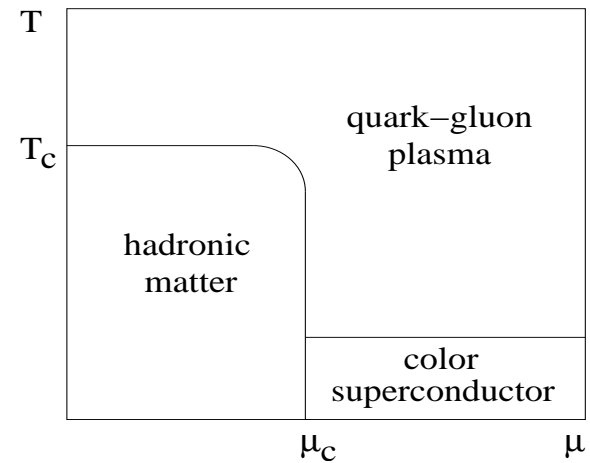
can form colored bosonic ‘diquark’ pairs (QCD’s Cooper pairs)

form condensate  $\Rightarrow$  color superconductor

- expected phase diagram of QCD:

baryochemical potential

$\mu \sim$  baryon density



## 2.3 Finite Temperature Lattice QCD

given QCD as **dynamics** input, calculate resulting **thermodynamics**,  
based on **QCD partition function**

⇒ **lattice regularization**

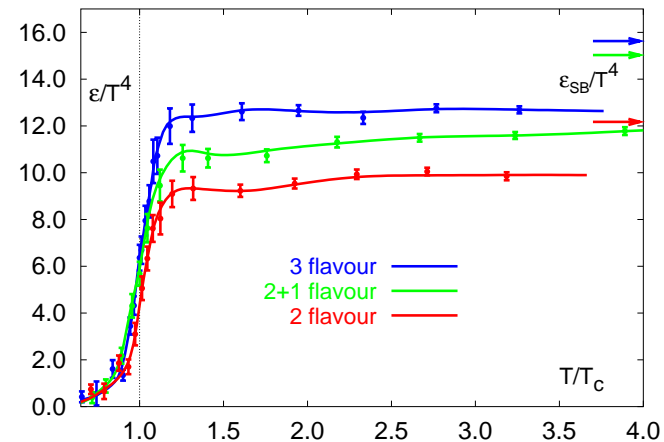
- energy density

⇒ **latent heat of deconfinement**

For  $N_f = 2, 2 + 1$ :

$$T_c \simeq 175 \text{ MeV}$$

$$\epsilon(T_c) \simeq 0.5 - 1.0 \text{ GeV/fm}^3$$



explicit relation to deconfinement, chiral symmetry restoration?

⇒ order parameters

- deconfinement

$$\Rightarrow m_q \rightarrow \infty$$

**Polyakov loop**  $L(T) \sim \exp\{-F_{Q\bar{Q}}/T\}$

$F_{Q\bar{Q}}$ : free energy of  $Q\bar{Q}$  pair for  $r \rightarrow \infty$

$$L(T) \begin{cases} = 0 & T < T_L \text{ confinement} \\ \neq 0 & T > T_L \text{ deconfinement} \end{cases}$$

variation defines deconfinement temperature  $T_L$

- chiral symmetry restoration

$$\Rightarrow m_q \rightarrow 0$$

**chiral condensate**  $\chi(T) \equiv \langle \bar{\psi}\psi \rangle \sim M_q$

measures dynamically generated ('constituent') quark mass

$$\chi(T) \begin{cases} \neq 0 & T < T_\chi \text{ chiral symmetry broken} \\ = 0 & T > T_\chi \text{ chiral symmetry restored} \end{cases}$$

variation defines chiral symmetry temperature  $T_\chi$

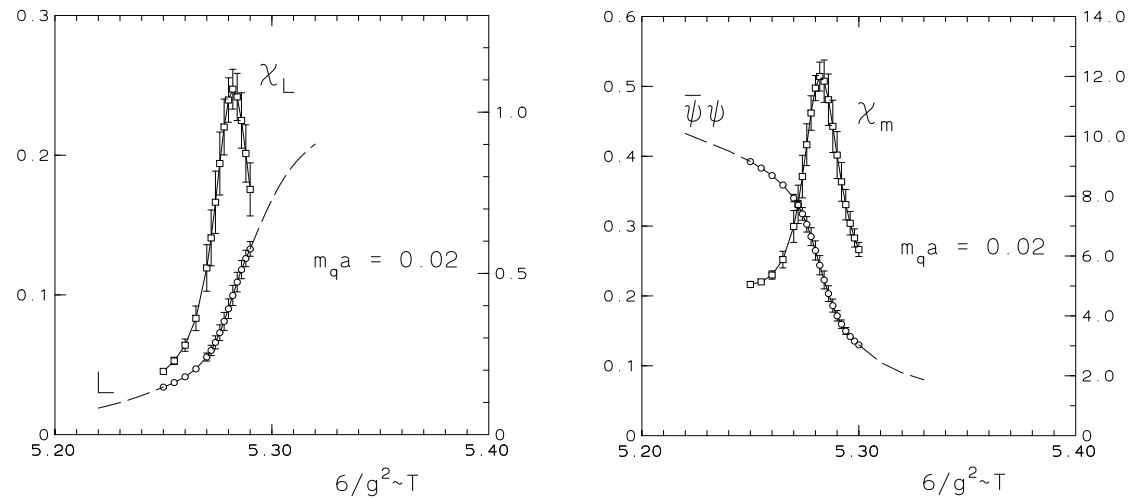
- how are  $T_L$  and  $T_\chi$  related?

pure  $SU(N)$  gauge theory:  $\sim$  spontaneous  $Z_N$  breaking at  $T_L$

full QCD, chiral limit:  $\sim$  explicit  $Z_N$  breaking by  $\chi(T) \rightarrow 0$  at  $T_\chi$

chiral symmetry restoration  $\Rightarrow$  deconfinement

lattice results



Polyakov loop & chiral condensate vs. temperature

at  $\mu = 0$ ,  $\exists$  one transition **hadronic matter  $\rightarrow$  QGP**

for  $N_f = 2$ ,  $m_q \rightarrow 0$  at  $T_c = T_L = T_\chi \simeq 175$  MeV

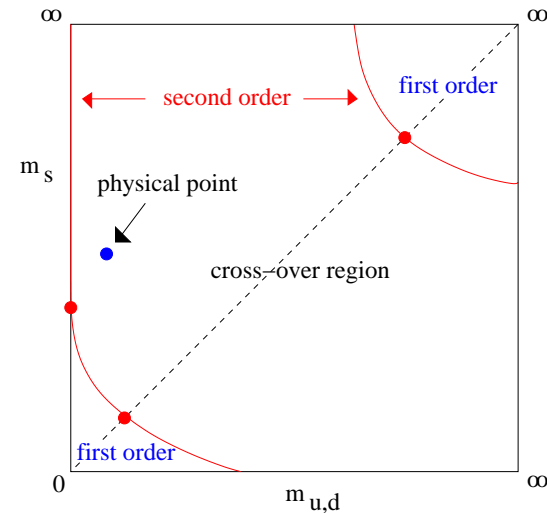
- nature of transition at  $\mu = 0$

- for  $m_q \rightarrow \infty$  (pure gauge theory)  
spontaneous  $Z_N$  breaking  $\rightarrow$  **deconfinement transition**
- for  $m_q \rightarrow 0$ , spontaneous chiral symmetry breaking  $\rightarrow$  **chiral transition**
- for finite quark masses, no spontaneous symmetry breaking or restoration, hence in general no singular behaviour
- both  $L(T)$  and  $\chi(T)$  vary sharply for all  $m_q$ , define common transition point  $T_c$
- what kind of transition?

depends on  $N_f$  and  $m_q$ :

continuous, first order

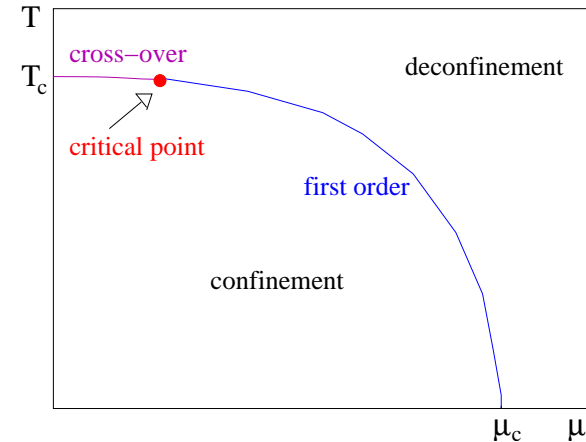
“rapid” cross-over



- non-zero net baryon density

$$(\mu \neq 0, N_b > N_{\bar{b}}, N_f = 2 + 1)$$

computer algorithms break down:  
reweighting, analytic continuation,  
power series...; expect:



critical point in  $T-\mu$  plane depends on position of physical point  
in  $m_s - m_{u,d}$  plane

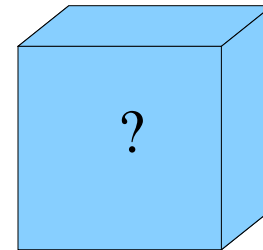
- cross-over region (the real world): enigmatic
  - no thermal singularity, no thermal phase transition
  - so what does it mean: new state of matter?
  - observables change rapidly, define clear transition
  - what transition mechanism? percolation?

### 3. Probing Matter in Statistical QCD

given a box of strongly interacting matter in thermal equilibrium,  
how can *theorists* determine its state through QCD calculations?

NB:

equilibrium thermodynamics, no collision  
dynamics, time dependence, equilibration,  
expansion, cooling, etc.



#### 3.1 Interaction Range and Colour Screening

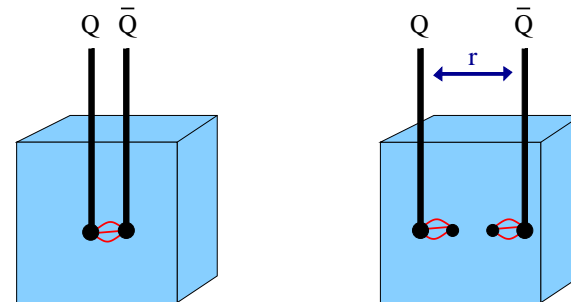
static quark/antiquark in medium: interaction vs. separation?

at  $T = 0$ , confining “string” potential

$$V(r) \sim \sigma r$$

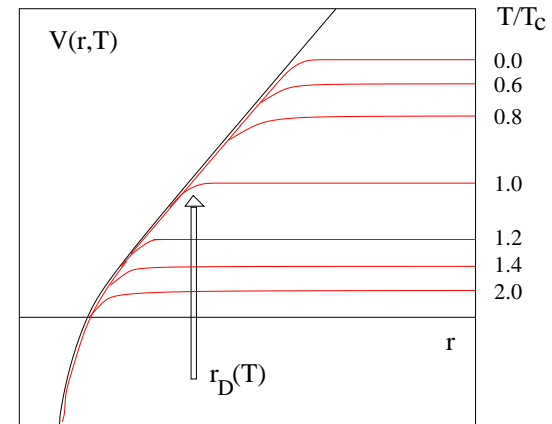
string breaks for  $V(r) \geq 2M_q$

$\Rightarrow$  two light-heavy mesons  $(Q\bar{q}), (\bar{Q}q)$



with increasing temperature,  
 potential strength and  
 range reduced (from  $LL^+$  correlations)  
 string breaks earlier

⇒ colour screening

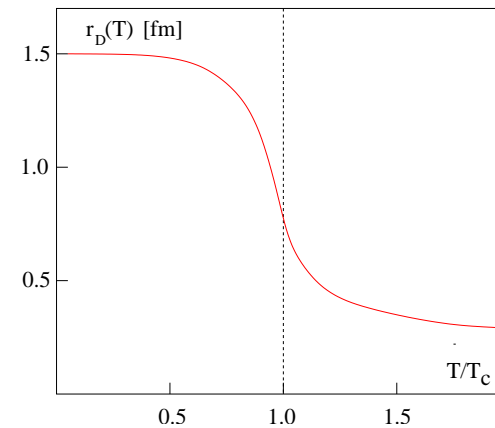


(Bielefeld,  $16^3 \times 4$ ,  $N_f = 2$ ,  $m_q/T = 0.4$ )

screening radius  $\sim$  interaction range

drop sharply as  $T \rightarrow T_c$

string breaking point falls  
 from  $r \simeq 1.5$  fm to  $r \simeq 0.3$  fm  
 for  $T/T_c = 0$  to  $T/T_c = 2$



## 3.2 Light Hadron Spectroscopy

look at mass spectrum of virtual photons emitted from box

$$\gamma^* \rightarrow e^+e^-$$

expect:

in hadronic phase  $\rho \rightarrow \gamma^* \rightarrow e^+e^-$

so that  $M(\gamma^*) \simeq M(\rho)$

in QGP phase  $q\bar{q} \rightarrow \gamma^* \rightarrow e^+e^-$

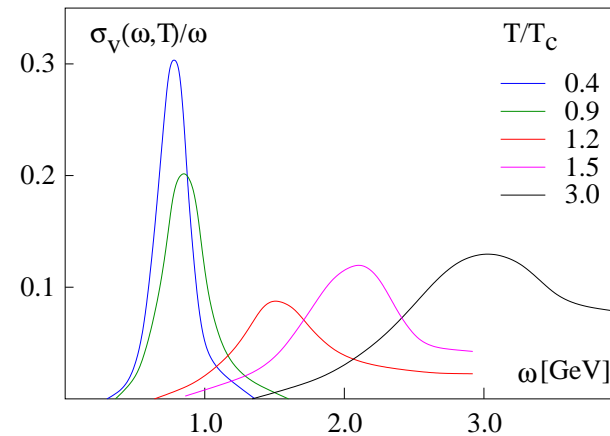
so that  $M(\gamma^*) \sim T$

lattice calculations:

confined state: **hadronic scale**, peak at  $\rho$  mass

position  $\sim$  temperature-independent

deconfined state: **temperature scale**, broad peak at position  $\sim T$



(Bielefeld, quenched QCD,  $64^3 \times 16$ )

## 3.2 Charmonium Spectroscopy

existence of heavy quark-antiquark bound states ( $J/\psi$ ,  $\chi_c$ ,  $\psi'$ , ...) as indicator of nature and temperature of medium

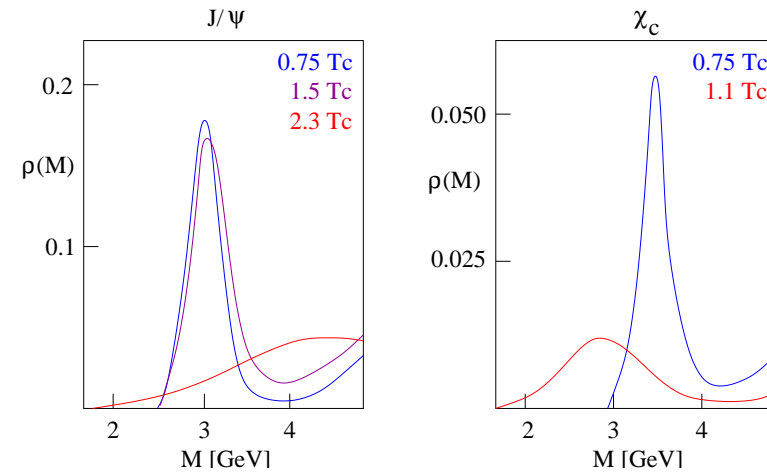
lattice calculations for spectral functions of  $c\bar{c}$  systems

(Bielefeld, quenched QCD,  $48^3 \times 10 - 24$ )

$J/\psi$  persists up to  $2.3 T_c > T \geq 1.5 T_c$

$\chi_c$  is dissociated for  $T \geq 1.1 T_c$

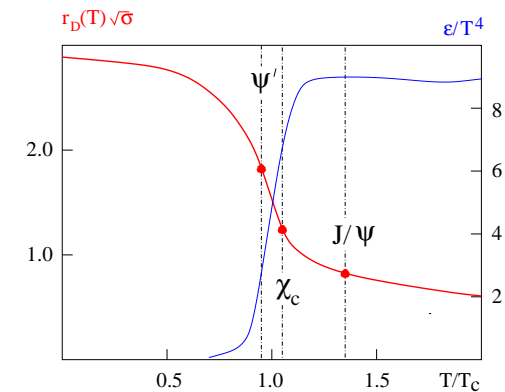
widths?



cross check:

compare to interaction range,  
potential models (Schrödinger equ'n)

$\chi_c$  and  $\psi'$  analyze deconfinement transition

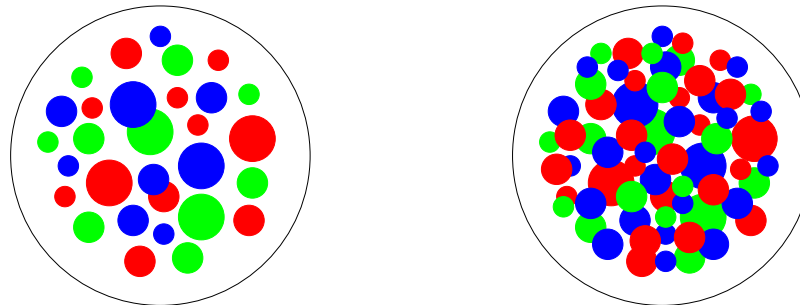


## 4. Parton Percolation and Saturation

Critical behaviour in initial state of hadronic/nuclear collisions

Pre-equilibrium aspects, no thermalisation  $\Rightarrow$  **geometric features**

Partons in transverse plane of incoming hadron or nucleus:



increasing  $\sqrt{s}$  or  $A \rightarrow$  higher density  $\rightarrow$  clustering  $\rightarrow$  ?

- transverse size of hadron/nucleus  $F = \pi R^2$ , radius  $R = A^{2/3} R_0$
- average transverse size of partons  $\alpha_s \pi r^2$ ,  $r \sim Q^{-1}$ , intrinsic parton transverse momentum

- number of partons in hadron/nucleus  $N = A dN_p/dy$
- deep inelastic scattering: as  $x \sim \sqrt{s}/Q$  decreases, the density of partons increases,  $dN_p/dy \sim 1/x^\delta \Rightarrow$  “small x problem”

What happens when combined partonic area  $\gg F$  ?

Percolation condition  $n = \frac{N}{F} = \frac{1.13}{\pi r^2}$

becomes  $\frac{\alpha_s A}{R^2 Q^2} \frac{dN_p}{dy} = 1.13$

determines onset of parton connectivity as function of  $\sqrt{s}$ ,  $Q$ ,  $A$

Beyond percolation point, partons form connected interacting network, cease to have individual existence  $\Rightarrow$  parton saturation

Geometric transition from a system of individual partons to a new medium: parton network or colour glass condensate

Colour connectivity  $\sim$  prerequisite for subsequent thermalisation

## Critical Behaviour in QCD

In strong interaction thermodynamics

∃ a well-defined transition at which

- **deconfinement** sets in, **chiral symmetry** is restored, **latent heat** increases energy density
- **colour screening** decreases interaction range
- **dilepton spectra** go from hadron decay to thermal annihilation
- **charmonium dissociation** analyses transition region

In initial state of hadronic/nuclear collisions,

centerline ∃ a well-defined geometric transition at which

- initial state partons  $\Rightarrow$  connected **parton network**  $\Rightarrow$  **saturation**