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## Collaborations and Acknowledgments

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## Papers:

- cond-mat/0501684 (PRB 72, 045315)
- cond-mat/0506812 (PRB 73, 113307)
- arXiv:0707.2992 (PRB 77, 085314)

# Luttinger Liquid and Momentum Resolved Tunneling between Quantum Wires

#### Luttinger liquid model of 1D interacting quantum system

- The Luttinger liquid is a **stable fixed point** of 1D quantum systems with gapless excitations
- The Luttinger liquid systems of 1D interacting Fermions often have **separate** spin and charge excitations propagating at different speeds
- The Luttinger liquid systems exhibit **universal** low-energy behaviors determined by only four phenomenological parameters: the velocities  $v_{\rho,\sigma}$  and "interaction strength"  $K_{\rho,\sigma}$  for spin and charge excitations. For a SU(2) invariant system  $K_{\sigma} = 1$ .

#### Momentum resolved tunneling as a spectroscopic tool for 1D electron system

- High mobility quantum wire formed at the edge of 2DEG is an excellent 1D interacting electron system
- Tunable tunneling conductance between the wires provide an new window into properties of the quantum wires

# Schematic Diagram of Experimental Setup



Tunneling conductance is  $G = dI_T/dV_{SD}$ . Experiments measure  $dG/dV_g$  to pick out physics sensitive to density.



Model geometry, charge density distribution ho(x) and gate voltage  $V_g$ 

At zero temperature, only the tunneling between ground states contributes. The tunneling conductance  $G \propto |M(k_+)|^2 + |M(k_-)|^2$ , where

$$k_{\pm} = \pm k_F^{lower} + e\mathbf{B}d/\hbar \; ,$$

and

$$M(k) = \langle \Psi^N | c_k^{\dagger} | \Psi^{N-1} 
angle$$
 .

It is instructive to define a "quasi-wavefunction":

$$\Psi_{\rm eff}^N(x) \equiv \langle \Psi_{\gamma}^{N-1} | \psi_{\sigma}(x) | \Psi_{\alpha}^N \rangle ,$$

then

$$M(k) = \int dx e^{ikx} \Psi_{\rm eff}^{N*}(x).$$

For non-interacting wire  $\Psi_{eff}^N$  is simply the wavefunction of last occupied electron.



#### Key Features:

• Extended state: density *n* changes continuously with gate voltage  $V_g$ , momentum dependence of tunneling sharply peaked at  $k = \pm k_F(n)$ .

• Localized state: density n changes discretely with gate voltage  $V_g$ , momentum dependence of tunneling extended over a wide range of k. Self-consistent Confining Potential Generated by Interaction?



hypothetical self-consistent potential in the localized phase



Basic features of momentum distribution:

- Broad momentum distribution, implying localized electrons
- $\bullet$  Typically two broad peaks, the separation between which widens with increasing particle number N
- Last Coulomb blockade peak has single peak in momentum distribution

# Non-interacting Electrons, T = 0, Box with Hard Wall

As large N,  $|M(k)|^2$  becomes peaked at  $k_N = N\pi/L$  with width  $\delta k = 2\pi/L$ .



#### Screened Coulomb Interaction Potential

The Coulomb interaction in the short upper wire is assumed to have a **short-range** cutoff due to the finite width of the wire and **long-range** cutoff due to screening by the more conducting lower wire.

$$ilde{V}_{
m eff}(q) = ilde{V}_0(q, W_u) - rac{ ilde{V}_0^2(q, d)}{ ilde{V}_0(q, W_l)} \,,$$

where  $\tilde{V}_0(q,W) = \int_{-\infty}^{\infty} dx \frac{e^{iqx}}{\sqrt{x^2 + W^2}} = 2K_0(Wq)$ .  $K_0$  is modified Bessel function.



## Formation of Quasi-Wigner Crystal Order with Lowering Density

Instead of the Friedel oscillation of frequency  $2\mathbf{k}_{\mathbf{F}}$ , clear oscillations of frequency  $4\mathbf{k}_{\mathbf{F}}$  show up, both in density and in density-density-correlation, at low density. Here density-density correlation function is defined as  $\frac{1}{1-x}\int_{0}^{1-x}\rho(x')\rho(x'+x)dx'$ .



 $|M(k)|^2$  is insensitive to interactions. Following plot show  $|M(k)|^2$  for tunneling from N = 3 to N = 4 state.



# Spinless/Polarized Electrons

Under the experimental parameters, spinless electrons are essentially non-interacting for both high and low density.



## Large-N limit: Ground State Tunneling

For large but finite N and not too close to wall, Luttinger liquid theory gives an estimate of the ground state quasi-wavefunction as defined before:

$$\Psi_{\rm eff}^N(x) \sim \frac{1}{\sqrt{LN^{\alpha}}} \left[ \sin\left(\frac{\pi x}{L}\right) \right]^{\frac{1}{2}(\alpha_{\rm end} - \alpha)} \sin(k_F x) ,$$

where tunneling exponent for bulk and end is given by Luttinger liquid interaction parameter g as  $\alpha = (g + g^{-1} - 2)/4$  and  $\alpha_{end} = (g^{-1} - 1)/2$ , respectively. A normalization factor  $N_g$  is used so that the integrated areas under the three curves are the same.



# Estimates of Effective Heisenberg Exchange Constant J

At strong interaction, the dynamics of system can be described by Heisenberg model. The Heisenberg exchange parameter J can be extracted from gap  $\Delta$  between ground state and first excited state. For N = 2  $J = \Delta$  and for N = 4  $J = 1.5178\Delta$ .



Total Conductance 
$$G=C(\mathscr{B}(k_+)+\mathscr{B}(k_-))$$
, where

$$\mathscr{B}(k) = \sum_{\alpha\gamma\sigma} |\langle \Psi^N_{\alpha} | c^{\dagger}_{k\sigma} | \Psi^{N-1}_{\gamma} \rangle|^2 w_{\alpha\gamma} ,$$

$$egin{aligned} w_{lpha\gamma} &= e^{-eta[E_{\gamma}^{N-1}-\mu(N-1)]}f(m{arepsilon}_{lpha\gamma}) \ &= e^{-eta(E_{lpha}^{N}-\mu N)}[1-f(m{arepsilon}_{lpha\gamma})] \ , \ &k_{\pm} &= \pm k_{F}^{l} + eBd/\hbar \ . \ &m{arepsilon}_{lpha\gamma} &= E_{lpha}^{N} - E_{\gamma}^{N-1} \ , \ &C &= rac{\pi e^{2}}{2\hbar}\lambda^{2}m{eta} vLrac{e^{-eta\mu N}}{Z_{N}+e^{-eta\mu}Z_{N-1}} \ , \end{aligned}$$

#### Finite Temperature and Mixing Spins: Exact Diagonalization

For strong repulsive interaction, spin excitation energy scale  $\Delta = J/N$  may become very small. Three energies scales are important: spin gap  $\Delta$ , Zeeman energy  $E_Z$  and thermal energy  $k_BT$ . Following picture shows tunneling from N = 1 to N = 2: the case of singlet ground state ( $E_Z < \Delta$ ), triplet ground state ( $E_Z > \Delta$ ) and high temperature mixed state( $E_Z, \Delta \ll k_BT \ll \Delta_{charge}$ ).



# Finite Temperature and Mixing Spins: Free Spin Regime and Large N limit

If  $J \ll k_B T \ll \hbar v_c k_F$ , spin configurations have equal thermal weight but there's no charge excitation, we find a spectral weight as following:



Here  $\bar{u} = \frac{a}{\pi}\sqrt{2g\ln(L/a)}$  is the root-mean-square fluctuation of electron position. g is the Luttinger liquid interaction parameter.

# Conclusions for Part I

We investigated the momentum dependence of tunneling matrix elements from a infinite wire into short quantum containing interacting electrons.

- For  $N \leq 4$  exact diagonalization is carried out, ground state tunneling matrix element  $|M(k)|^2$  is computed.
- Large N calculations of tunneling amplitude, both for ground state tunneling and for free spin regime, are carried out using Luttinger liquid theory.

#### Other Possible Factors in Accounting for Experimental Observation

- Soft instead of hard wall confinement: more spectral weight at center.
- Partial spin polarization
- Asymmetry of confinement potential

# Part II: Electronic States of Low Density Region

Model geometry for the electronic density distribution  $\rho(x)$  and gate potential  $V_g(x)$ 



Assumptions and simplifications of the Hartree-Fock model:

- Spin restricted to be either aligned or anti-aligned with magnetic field B
- Two subbands corresponding to different transverse modes in the quantum wire
- Electrons in different subbands interact only through Hartree terms

$$H\psi_{\sigma b}(x) = -\frac{\hbar^2}{2m^*} \frac{\partial^2 \psi_{\sigma b}(x)}{\partial x^2} + (V_G(x) + \Delta_b)\psi_{\sigma b}(x) + \mu_B B S_z \psi_{\sigma b}(x) + V_H(x)\psi_{\sigma b}(x) - \int dx' V_F^{\sigma b}(x,x')\psi_{\sigma b}(x')$$

$$V_H(x) = \int dx' (\sum_{i,\sigma',b'} |\psi_{i\sigma'b'}(x')|^2) V_{\text{eff}}(x-x')$$
$$V_F^{\sigma b} = \sum_i \psi_{i\sigma b}(x) \psi_{i\sigma b}^*(x') V_{\text{eff}}(x-x').$$

- The emergence of an antiferromagnetic order at the low density region (left)
- The emergence of spin-aligned region at the center of the wire (right)



# Discrete Density Changes in the Spin-aligned Phase

Abrupt density rearrangements occur due to the successive expulsion of a single electron from the spin-aligned region



- The wavefunctions near the Fermi level have large weights near the center
- No sign of self-consistent barrier at the ends of spin-aligned region
- Little spectral weight near k = 0 in the momentum-dependent tunneling matrix element



Left wavefunction of N = 7 solution at  $E_f$  Right transition from N = 7 to N = 8 solutions

# Unrestricted Hartree-Fock in a Homogeneous system: Effects of Canting in the Antiferromagnetic Phase

- The correction to the energy per unit cell due to canting is small in the range where the ground state is antiferromagnetic
- The  $S_x$  magnetization is small for canted solution at  $\rho = 16 \mu m^{-1}$ , where the system make a transition to a ferromagnetic ground state



We investigated the density and spin configuration an inhomogeneous quantum wire using the restricted Hartree-Fock method. We found:

- When lowering its density, the depleted region goes from a non-magnetic state to an antiferromagnetic state, and finally to a spin-aligned state sandwiched by antiferromagnetic states
- In the spin-aligned phase, the spin-aligned region undergoes abrupt density changes by successively losing a single electron
- The wavefunctions near the Fermi surface are relatively localized near the center, but they are not Coulomb-blockade states confined by barrier potentials
- Additional mechanisms, such as impurity potentials or multiple spin state contributions, are needed to explain the observed large spectral weigh near k = 0 in the momentum dependent tunneling
- In our model, the effects due to the canting of the spins in the unrestricted Hartree-Fock model are small