

## Solid State Physics

## Homework Ch2 No.2, Due on Mar 22nd, Friday

1. (a) From the relation between the Wannier function and the Bloch eigenstates:  $f_n(\mathbf{R}, \mathbf{r}) = \frac{1}{v_0} \int d^3\mathbf{k} e^{-i\mathbf{k}\cdot\mathbf{R}} \Psi_{n\mathbf{k}}(\mathbf{r})$ , show that  $\int f_n^*(\mathbf{R}, \mathbf{r}) f_{n'}(\mathbf{R}', \mathbf{r}) d^3\mathbf{r} \propto \delta_{nn'} \delta_{\mathbf{R}\mathbf{R}'}$ , where the orthogonality of the Bloch states  $\Psi_{n\mathbf{k}}(\mathbf{r})$  can be applied.  
 (b) In particular, find the proper normalization factor so that  $\int f_n^*(\mathbf{R}, \mathbf{r}) f_{n'}(\mathbf{R}', \mathbf{r}) d^3\mathbf{r} = \delta_{nn'} \delta_{\mathbf{R}\mathbf{R}'}$ .
2. Problem No.2 in Chapter 10 of “Solid State Physics” by Ashcroft/Mermin, “Tight-Binding p-Bands in Cubic Crystals”. Questions (a)-(d).
3. Consider a one-dimensional lattice Hamiltonian  $H = -\frac{1}{2}\nabla_x^2 + U(x)$ , with  $U(x) = -V_0 \cos^2(k_0 x)$ , where the constant  $V_0 > 0$  denotes the amplitude of periodic lattice potential and  $k_0 = \pi/a$ , with  $a$  the lattice constant. For simplicity, here we take that the mass  $m = 1$  and  $\hbar = 1$ . Thus the recoil energy reads  $E_R = \hbar^2 k_0^2 / 2m = k_0^2 / 2$ . The Bloch states of the Hamiltonian can be solved by plane-wave expansion

$$\Psi_k = \sum_l c_l e^{ikx + i\frac{2l\pi}{a}x}, \quad (1)$$

where  $-k_0 \leq k < k_0$  and  $l$  sums over all integers. Note that  $2l\pi/a$  is a just reciprocal lattice vector.

- (a) Find the secular equation for the coefficients  $c_l$ .
- (b) Numerically solve the Bloch energy  $\mathcal{E}_k$  for the lowest band under certain value of  $V_0$  and plot  $\mathcal{E}_k$  as a function of  $k$ . This can be done by taking a cut-off  $l_0$  for the summation of  $l$ , namely,  $l$  sums from  $l = -l_0$  to  $l = l_0$ . For simplicity, you can take  $a = 1$  so that  $k_0 = \pi$  and then  $E_R = \pi^2/2$ . For  $V_0 = 3E_R$ , you can see that by taking  $l_0 = 5$ , the numerically solved  $\mathcal{E}_k$  of the lowest band is already very close to the exact solution (that's to say, if you increase the value of the cut-off  $l_0$  the solution almost does not change). In your calculation and plot, you can take say 30 values of  $k$  within  $[-k_0, k_0]$ , namely, let  $k = -\pi, -14\pi/(15), \dots, 14\pi/(15), \pi$ .
4. **(This is not a homework problem, but you can choose to study).**—For problem No. 3, Find the Wannier function  $f(x, R)$  for  $R = 0$  for the lowest band. On the other hand, expand the potential  $U(x)$  around  $x = 0$  and keep the harmonic term, i.e. up to  $x^2$ . Solve the lowest eigen-function  $\phi_0(x)$  for the harmonic potential. Then calculate the overlapping integral  $\langle f(x, R) | \phi_0(x) \rangle$  and compare it with unity. Check the cases with  $V_0 \gg E_R$  and  $V_0 \sim E_R$ .